Registered Address:

ccount Opening Form For	Institutional Inves	tors		
Date			al-ameen f	UBL FUNDS
Customer ID	- (For Office Use)	TS2#		
For assistance in filling out this for for UBL Funds or email at custome You can submit the form to your nearest UI also courier the form to: UBL Fund Manage	ercare@ublfunds.com BL Fund Managers Investmen	nt Center, designa	ted UBL Branches or a	uthorized distributor outlets. You can
	Genera	al Instructions		2. 9.
Fill the form with blue pen in block letter is mandatory. Fill the form by yourself or get it filled in 3) Please tick the appropriate box wherev 4) It is the responsibility of the applicant to in the offering document (s) of the relev 5) Application incomplete in any respect a are fulfilled. Application complete in all respect and Center, Designated UBL branches, dis Lines, Karachi, Pakistan. Please obtain acknowledgment receip 8) For assistance in filling this form or info	your presence. Do not sign a er applicable, in-case any fiel carefully read and understar ant scheme prior to submittin and / or not accompanied by re carrying all necessary docum tribution Outlets, or UBL Fundat t against your payment for in	and / or submit blar Id is not relevant, p nd the guidelines a ng the form. equired document nentary attachmen ds Managers Oper vestment.	nk form. please mark N/A (not apund instruction provided are liable to be hold outs should be submitted ation Office: 4th Floor,	oplicable) In this form and the term and condition r rejected until complete requirements at UBL Fund Managers Investment STSM building, Beaumont road, Civil
o) for assistance in lilling this form of line	1790	uidelines	in our corporate a mair	iunoriai Sales department.
3) Payment shall be made In favor of 'CD Funds' or 'Al-Ameen Funds' instrumen 4) If payment instrument is returned, the 5) It should be the responsibility of applic 6) Front-end load (charges) will be applic 7) In case of partnership firm or trust, app	t should be crossed account application will be rejected, ant to pay all charges and ta able on investment as per C	payee only. xes in relation to to onstitutive Docum	he unit purchased. ents of the Fund(s)	favor of 'CDC Trustee UBL
1) Unit Holder's Details				
Entity name/				
NTN Number: Registration Number:			Registration date:]-
Regulated person: Public company:	Leasing company Listed	NBFC Unlisted	○ Modaraba ○) Stock broker
Private Company Sole Proprietor Executors / Administrators	Registered Please provi	de registration certificate) Association (Unregistered of Person	
Financial Institution Commercial Bar	nk Micro-Finance Bank	Onvestment Company	Stock Broker	Insurance Company
Retirement Fund Pension Fund Recognized	Provident Fund Unrecognized	Gratuity Fund	Workers Profit Participation Fund	Super Annunciation Fund
Partnership Registered	Unregistered			
ONGO ONPO	○ Trust			
Other (please specify)				

Correspondence Address:				
Office phone]-			
Fax number]-			
Company website				
Primary contact person name				
Designation				
Phone				400
Mobile				
Email				
Alternate contact person name				
Designation				
Phone				
Mobile				
Email				
Correspondence to be send to	as above If different pleas	se specify		
2) Bank Account Deta	ils			
Bank Account Details (atleast of Bank Account number / IBAN	one) of Principal Unit Holder Mandatory) /			
Bank Account Title				
Bank Name		Branch C	ode	
Bank Address				
3) Account Operating in	nstructions			
Tick one as appropriate:	Single Signatory	Joint holders (any two)	All authorized signator	ries
Other (please specify)				
4) Dividend payout instr	ruction			U MESS AN SUBSTITUTE
Tick one as appropriate:	Reinvest in the Fund(s) / Plan(s)		O Distribute in the form	of cash
Note: If no option is selected, any div	ridends declared will be reinvested in the fund(s) / Plans(s) .		

Unit will be issued in registered, (non-certificate) form and will be confirmed by means of an Account statement (Physical Account Statement or E-statement) by the registrar. Unit will be issued in certificate form on payment of Rs/:25 per certificate payment for issuing certificate(s) may be Physical certificates combined with the payment of investment in the particular fund(s). Unless specified a minimum number of certificate will be issued certificate(s) will only be issued for whole number of unit(s) not including any fractional unit(s), if any Note: If no option is selected, 'Account statement' unit mode of holding will be considered. For investment plans Account statement will be the default units mode of holdings. Whichever option is selected There are procedures laid down in each case of redemption / encasement, conversion and transfer of funds / plans. 6) Investment Details Type of Units Amount (Rs.) Name of Scheme (s) * 1 2 3 Total Investment Amount (Rs) In Words Online Transfer O RTGS 1- Mode of Payment () Cheque O Pay Order O Demand Draft Bank Short Name(Drawn On) Branch Code Instrument Number Branch Name 2- Mode of Payment O Cheque O Pay Order O Demand Draft Online Transfer C) RTGS Bank Short Name(Drawn On) Instrument Number Branch Code Branch Name 3- Mode of Payment O Cheque O Pay Order O Demand Draft Online Transfer O RTGS Bank Short Name(Drawn On) Branch Code Instrument Number Branch Name 4- Mode of Payment O Cheque O Pay Order Online Transfer Demand Draft O RTGS Instrument Number Bank Short Name(Drawn On) Branch Code Branch Name

5) Units Mode of Holding

I/We would like to confirm Units Mode of hold as per option selected below tick anyone

Scheme Category انکیم کنگری	Fund Name / CIS	Investment Amount مرايکاری کی رقم	Front End Load to be Charge (One time cost) أن اعد الذراك إلى اك	Maximum Front End Load (One time cost)
Money Market منی مارکیت Risk Profile: Low رسک پروفاکل: کم Risk of Principal Erosion:Low risk اصل زر میں کئوتی کا خطرہ : کم	UBL Money Market Fund من مارکیٹ فنڈ UBL Liquidity Plus Fund نگویڈ پڑی پاس فنڈ UBL Cash Fund* UBL کیش فنڈ UBL	Rs:	يمد % Nil Nil	Upto 1% Nil
Shariah Compliant Money Market شرایعت کے مطابق سمی مارکیٹ Risk Profile: Low رسک پروفاکل: کم Risk of Principal Erosion: low risk اصل ذریش کونی کا خطرہ : کم	Al-Ameen Islamic Cash Fund الاثنان اسلامک کیش فنڈ **Al-Ameen Islamic Cash Plan- الاثنان اسلامک کیش پایان -۱**	Rs:	Nii Nii	Nil Nil
Capital Protected (Non Equity) کتیبال پروکیکنڈ (نان ایکوکٹر) Risk Profile: Low رسک پروفاکل: کم Risk of Principal Erosion: Low risk	UBL Special Savings Fund اکوش سیوگ نند Plan Name* پالن کانام UBL Special Savings Fund - II Plan Name*	Rs:	% فيصد % %	Upto 2% (May change in different plans Upto 1.5% (May change in different plans
Fixed Return قَاسَدُ رِيتْرِن السَّيْم : Low رسک پروفائل: کم Risk of Principal Erosion: Low risk امس زريس کوتی کا فطرو : کم	Note: At the time of investment. Unit Holder is required to provide meturity instructions as below: UBL Fixed Return Fund*	Rs:	Nil	Nil
Shariah Compliant Fixed Return Scheme شرایعت کے مطالق فکسٹر ریٹر ن اسکیم Risk Profile: Low رسک پروفاکل: کم Risk of Principal Erosion: Low risk اصل زریش کوفی کا خطرہ : کم	Note: At the time of investment, Unit Holder is required to provide meturity instructions as below: Al-Ameen Islamic Fixed Return Fund*		Nil	Nil
آمدنی/انجم Risk Profile: Medium رسک پروفائل : ورمیانه Risk of Principal Erosion: Medium risk اصل ذر مین کوتی کا محطره: درمیانه	UBL Income Opportunity Fund الكم او پر ثانی فنز UBL UBL Government Securities Fund UBL گورفمنٹ سكيو رثيز فنز	Rs:	فيمد % فيمد %	Upto 1.5% Upto 1%

Scheme Category اسکیم کنگری	Fund Name / CIS CIS/たビジ	Investment Amount مربایکاری کی رقم	Front End Load to be Charge (One time cost) أرثث ايتذ لود (أيد بارك الأك	Maximum Front End Load (One time cost)****
Aggressive Income ایگریهوانم Risk Profile: Medium رسک پروفاکل:ورمیانه Risk of Principal Erosion: Medium risk اصل: مین کوفی کا خطر: ورمیانه	UBL Growth and Income Fund گروتھ اورام کھ فنڈ UBL	Rs:		Upto 1.5%
Asset Allocation ایلوکیشن ایسٹ Risk Profile: Medium رسک پردفائل:درمیان Risk of Principal Erosion: Medium risk اممل ذر میں کٹوتی کا خطرہ: درمیانہ	UBL Asset Allocation Fund ایت ایلیکش فنز UBL	Rs:	فيسد %	Upto 3%
Shariah Compliant Income شریعت کے مطابق آگم Risk Profile: Medium رسک پردفائل: درمیانه Risk of Principal Erosion: Medium risk اصل ذر میں کئوتی کا خطرہ: درمیانه	Al-Ameen Islamic Sovereign Fund الایمن اسلامک مووران فنژ Al-Ameen Islamic Income Fund الایمن اسلامک اگرافنژ	Rs:	نیمد % نیمد %	Upto 1% Upto 1.5%
Shariah Compliant Aggressive Income شریعت کےمطابق انگریمواکم Risk Profile: Medium رسک پردفاکل: درمیانه Risk of Principal Erosion: Medium risk اصل زریس کوئی کا فطرہ: ورمیانه	Al-Ameen Islamic Aggressive Income Fund الایمن اسلامک ایگر پیوانم فتر Al-Ameen Islamic Aggressive Income Plan_ الایمن اسلامک ایگر پیوانم پلیان	Rs:	<u>ب</u> مد %	Upto 1% (May change in different pla
Shariah Compliant Asset Allocation شریعت کے مطابق ایسٹ ایلوکیشن رسک پروفائل: درمیانه Risk of Principal Erosion: Medium risk اصل زرمیں کوتی کا خطرہ: درمیانه	Al-Ameen Islamic Asset Allocation Fund الاشمن اسلامک السٹ ایلوکیشن فنڈ	Rs:	ايد %	Upto 3%
ا یکوئ Risk Profile: High رسک پردفائل:زیاده Risk of Principal Erosion: High risk اصل زرمین کوثی کاخطره: زیاره	UBL Stock Advantage Fund اسٹاک ایڈوائٹی فنڈ UBL Financial Sector Fund نافل بیکٹرفنڈ UBL	Rs:	فِعد % فِعد %	Upto 2.5% Upto 3%
Shariah Complaint Equity شریعت کے مطابق ایکوئی رسک پروفائل: زیادہ Risk of Principal Erosion: High Risk اصل زر میں کوئی کا خطرہ: زیادہ	Al-Ameen Shariah Stock Fund الایمن شریعیات کفته Al-Ameen Islamic Energy Fund الایمن اسلامک از بق فنهٔ	Rs:	قيمد % فيمد %	Upto 2.5% Upto 3%

8) Know Your Customer Principal line of business						
Parent company name (if applicable)	= =		計			
Parent company name (if applicable)	_ _		Ļ			
Ultimate beneficiary						
Country of incorporation (please specify)						
Note: (Thi	s informa	ation should be	same	as mentioned in the	entity registration documen	it).
Expected annual revenue:					- 30 20 -	
Source(s) of investments						
(select atleast one / more than one if applicable) Investment Income Business Income	O Do	nation	0	Employee Contribution	on Other	. 00
Geographies Involved	0				0.2335	
	ational				- 44	
Type of counterparties dealing with						
○ Individual ○ NPO/Trust ○ Busi	ness					
Your expected No. of monthly investment transaction	0	0-5	0	6-10	O 11-15	More than 15
Your expected No. of monthly redemption transaction	0	0-5	0	6-10	O 11-15	More than 15
Expected Investment Transactions (Rs.) in a year:	0	Upto 100,000	0	100,000 - 800,000	0 800,001 - 10,000,000	More than 10,000,00
Expected Investment Per Transaction (Rs.):	0	Upto 25,000	0	25,000 – 400,000	O 400,001 – 1,000,000	O More than 1,000,000
Purpose of investment:	0	Growth	0	Cash management	t Others	
9) Declaration & Signature(s)						
Undertaking			1			
I/we hereby declare that the information protransaction in this account. I/We, hereby given in order to fulfill KYC related verification in Cowner/Authorized Signatories or any other due diligence, Mobile CNIC pairing verificated and understood the Consolidated Off and/or Fact Sheet of the relevant CIS(s)/ Plupdated before every operation of this account of the common modular protrains of the common modular protrains and pot in the common potential in the common protrains of the common protrains and pot in the common protrains of the common protrains and protrains account in the common protrains and protrains account in the common protrains and protrains account in the common protrains account	ve our of luding landing landing from and ering from an ering from the landing from the lan	consent to UI NADRA Vering whose verify of for improve locument(s) We understa We declare amount inve	BL F sys ficati emer as a and that estec and	unds to share m (including Direct on is required pu it in customer se imended from ti to access the Co I/We acknowled I and the funds if understand that	y/our information with ors/Trustees/Partners/ ursuant to regulatory re ervices. I/We hereby ac me to time, latest Fun ompany website to kee dge that the provided nvested are legitimate investment in units of	any third party(ies) Ultimate Beneficial equirement), IBAN, cknowledge having d Manager Report p myself/ourselves Ultimate Beneficial and not generated CIS(s)/ Plan(s) are

to investor resulting from the operations of any CIS(s)/ Plan(s) launched by UBL Funds unless otherwise mentioned. I/We hereby indemnify UBL Funds against any liability, loss or damages, compensation, legal proceedings arising as a result

of the inaccurate and / or incomplete information by me/us and / or due to technical issue in the site / portal / service for the execution of online transaction (online, IBFT & RTGS). I further indemnify UBL Funds from any loss or liability occurring by blocking of accounts due to any administrative action including missing or outdated Source of Income and/or Know Your Customer related information. I/We hereby further confirmed and undertake that the provided account details are correct.

I/We understand that investment in CIS(s)/Plan(s)/ are subject to market risks and fund prices may go up or down based on market conditions. I/We understand that past performance is not necessarily an indicator of future results and there is no guaranteed return or capital. I/We hereby also acknowledge that I/We have reviewed and understood detail of Sales Load, the Total Expense Ratio, Back-end and Contingent Load percentages including taxes of the Scheme as disclosed at UBL Fund website.

Use of name and logo of UBL Bank / UBL Ameen as given above does not mean that ligations of UBL Fund Managers & Al-Ameen Funds or any investment scheme man

Auth	orized signatur	re	
Date:]-		

For UBL Fixed Return Plan / Al Ameen Islamic Fixed Ret	turn Plan only:
 I/We confirm that I/We have understood the details mentioned below at the time of 1. Due to uncontrollable factors/force majeure including but not limited to Pander economic restructuring measures etc. there may be situation where the underly manner thereby resulting in loss of principal to the investor; In the event of premature redemption, the contingent load, if any, will apply who as well as potential loss in principal investment; Contingent load referred to as exit charges (including taxes), against early redeperiod or completion of plan (not applicable on cash dividends). For information regarding investment policy of respective allocation plans, pleas specifically annexure B. I/We hereby also agree to inform UBL Fund Managers in writing on specified from instruction regarding maturity. 	mics, Debt Restructuring, Wars, Government rlying asset lose their value in any shape, form or hich may result in not delivering the quoted return demption of units / withdrawal during subscription ase refer to consolidated offering document
	Authorized signature
For UBL Special Savings Plan Only:	
economic restructuring measures etc. there may be situation where the underly manner thereby resulting in loss of principal to the investor; 2. In the event of premature redemption the contingent load, if any, will apply which well as potential loss of principal investment; 3. Back End Load (Deferred Sales load) referred to as Exit Charges to be deducted completion of thirty six (36) months from commencement of Life of the Plan (experiod & Cash Dividends) 4. For information regarding the investment policy of the respective allocation plan document & thereto supplemental of 'UBL Special Savings Fund/ UBL Special	ich may result in not delivering the quoted return as ted including taxes upon redemption of units before xcluding units redeemed during Subscription in, please refer to Annexure 'B' of the offering
	Authorized signature

10) Document Checklist

Before submitting this form, make sure the following documents are attached. if one or more of the documents are missing, your application may be declined or processed with a dealy.

Sole proprietorship

- Photocopy of identity document of the proprietor.
- Attested copy of registration certificate for registered concerns.
- Sales Tax registration or NTN, where applicable
- Registered/ business address.
- Copy of certificate or proof of membership of trade bodies etc., wherever applicable.
- Requisition and Declaration of sole proprietorship on business letter head (as per provided format).

Partnership

- Photocopies of identity documents of all the partners and authorized signatories.
- o Attested copy of 'Partnership Deed'.
- Attested copy of Registration Certificate with Registrar of Firms. In case the partnership is unregistered, this fact shall be clearly mentioned on the Account Opening Form.
- Authority letter from all partners, in original, authorizing the person(s) to operate firm's account.
- Registered/ business address.
- o Copy of latest financial statements of partnership

Limited Liability Partnership (LLP)

- o Photocopies of identity documents of all the partners and authorized signatories.
- o Certified Copies of:
- Limited Liability Partnership Deed/Agreement.
 - LLP-Form-III having details of partners/designated partner in case of newly incorporated LLP.
 - 0 LLP-Form-V regarding change in partners/designated partner in case of already incorporated LLP.
- Authority letter signed by all partners, authorizing the person(s) to operate LLP account.
- o Copy of latest financial statements of partnership

Limited Companies/ Corporations

- o Certified copies of:
 - Resolution of Board of Directors for opening of account specifying the person(s) authorized to open and operate the account;
 - o Memorandum and Articles of Association;
 - Latest 'Form-A/Form-B'
- Incorporate Form II in case of newly incorporated company and Form A / Form C whichever is applicable; and Form 29 in already incorporated companies;
- Photocopies of identity documents of all the directors and persons authorized to open and operate the account.
- o Photocopies of identity documents of the beneficial owners:
- o Copy of latest financial statements

Branch Office or Liaison Office of Foreign Companies

- A copy of permission letter from relevant authority i.e. Board of Investment.
- Photocopies of valid passports of all the signatories of account.
- List of directors on company letter head or prescribed format under relevant laws/regulations.
- o Certified copies of
 - Form II about particulars of directors, Principal Officer etc. in case of newly registered branch or liaison office of a foreign company
 - Form III about change in directors, principal officers etc. in already registered foreign companies branch or liaison office of a foreign company
- A Letter from Principal Office of the entity authorizing the person(s) to open and operate the account.
- Branch/Liaison office address.

Trust, Clubs, Societies and Associations etc.

- o Certified copies of:
 - o Certificate of Registration/Instrument of Trust
 - o By-laws/Rules & Regulations
- Resolution of the Governing Body/Board of Trustees/Executive Committee, if it is ultimate governing body, for opening of account authorizing the person(s) to operate the account.
- Photocopy of identity document of the authorized person(s) and of the members of Governing Body/Board of Trustees /Executive Committee, the Settlor (if any), the Protectors (if any), the beneficiaries and any natural person exercising ultimate effective control over the trust if it is ultimate governing body.
- Registered address/ business address where applicable.
- List of Major Donors in case operating on Donations.
- Copy of latest financial statements.

NGOs/NPOs/ Charities

- o Certified copies of:
 - o Registration documents/certificate;
 - o By-laws/Rules & Regulations.
- Resolution of the Governing Body/Board of Trustees/Executive Committee, if it is ultimate governing; body, for opening of account authorizing the person(s) to operate the account;
- Photocopy of the identity document (CNIC/NICOP/Passport whichever applicable) of the authorized person(s) and of members of Governing Body/Board of Trustees /Executive Committee, if it is an ultimate governing body;
- Latest Audited Financial Statements;
- o Registered address/ Business address.
- Updated list of major donors that maintain decision rights with a copy of their identity document

Agents	o Certified copy of 'Power of Attorney' or 'Agency Agreement'. o Photocopy of identity document of the agent and principal. o The relevant documents/papers, if agent or the principal is not a natural person. o Registered	
Executors and Administrators	o Certified copy of 'Power of Attorney' or 'Agency Agreement'. o Photocopy of identity document of the agent and principal. o The relevant documents/papers, if agent or the principal is not a natural person. o Registered / Business Address	
Govt. Institutions / Semi Govt.	o Registration documents/certificate o By Laws/Rules & Regulation	

Note: Tax and zakat Exemption certificates/affidavit are mandatory if exempted, CRS-E and FATCA NFE, Photocopy of identity documents(i-e valid CNIC/passport) along with list of the all the Directors/trustees/signatories/Executors/Administrators/Authorizers are mandatory for all, kindly note Attested means originally attested from Notary Public)

مرف آفس استعال کے لیے / For Office Use Only				
Distributor / انتری ا	الخناة / Name of Agent	Sub-Agent // tk:×		
الغرابالخالة / Reference/Agent Code	CRM Lead	IC/Location / ケジ・しらい		
Deposite/CMT Slip #	Acknowledgement Receipt #	Receipt date		