

Scheme Category	Fund Name / CIS	Maximum Front End Load (One time cost)*****
Money Market <i>Risk Profile: Low</i> <i>Risk of Principal Erosion: Low risk</i>	UBL Money Market Fund UBL Liquidity Plus Fund UBL Cash Fund*	Upto 1% Nil Nil
Shariah Compliant Money Market <i>Risk Profile: Low</i> <i>Risk of Principal Erosion: low risk</i>	Al-Ameen Islamic Cash Fund Al-Ameen Islamic Cash Plan-I**	Nil Nil
Capital Protected (Non Equity) <i>Risk Profile: Low</i> <i>Risk of Principal Erosion: Low risk</i>	UBL Special Savings Fund Plan Name* _____ UBL Special Savings Fund - II Plan Name* _____	Upto 2% (May change in different plans) Upto 1.5% (May change in different plans)
Fixed Return Scheme <i>Risk Profile: Low</i> <i>Risk of Principal Erosion: Low risk</i>	UBL Fixed Return Fund*- ____ UBL Fixed Return Plan- ____ Roll-over Disclosure (mandatory): <input type="checkbox"/> Yes <input type="checkbox"/> No If No: <input type="checkbox"/> Convert to UMMF <input type="checkbox"/> Transfer to Bank A/C Note: Roll-over will be made in Plan of same duration. The terms & conditions including fixed rate of return may vary for rolled over plans depending upon market conditions on the date of roll-over and will be published on website. In case of no instruction or no same duration plan being offered at maturity, the maturity proceeds (net-off tax) will be transferred to your registered Bank Account.	Nil
Shariah Compliant Fixed Return Scheme <i>Risk Profile: Low</i> <i>Risk of Principal Erosion: Low risk</i>	Al-Ameen islamic Fixed Return Fund*- ____ Al-Ameen Islamic Fixed Return Plan- ____ Roll-over Disclosure (mandatory): <input type="checkbox"/> Yes <input type="checkbox"/> No If No: <input type="checkbox"/> Convert to AICF <input type="checkbox"/> Transfer to Bank A/C Note: Roll-over will be made in Plan of same duration. The terms & conditions including fixed rate of return may vary for rolled over plans depending upon market conditions on the date of roll-over and will be published on website. In case of no instruction or no same duration plan being offered at maturity, the maturity proceeds (net-off tax) will be transferred to your registered Bank Account.	Nil
Income <i>Risk Profile: Medium</i> <i>Risk of Principal Erosion: Medium risk</i>	UBL Income Opportunity Fund UBL Government Securities Fund	Upto 1.5% Upto 1%
Aggressive Income <i>Risk Profile: Medium</i> <i>Risk of Principal Erosion: Medium risk</i>	UBL Growth and Income Fund	Upto 1.5%
Asset Allocation <i>Risk Profile: Medium</i> <i>Risk of Principal Erosion: Medium risk</i>	UBL Asset Allocation Fund	Upto 3%
Shariah Compliant Income <i>Risk Profile: Medium</i> <i>Risk of Principal Erosion: Medium risk</i>	Al-Ameen Islamic Sovereign Fund Al-Ameen Islamic Income Fund	Upto 1% Upto 1.5%
Shariah Compliant Aggressive Income <i>Risk Profile: Medium</i> <i>Risk of Principal Erosion: Medium risk</i>	Al-Ameen Islamic Aggressive Income Fund Al-Ameen Islamic Aggressive Income Plan...	Upto 1% (May change in different plan)
Shariah Compliant Asset Allocation <i>Risk Profile: Medium</i> <i>Risk of Principal Erosion: Medium risk</i>	Al-Ameen Islamic Asset Allocation Fund	Upto 3%
Equity <i>Risk Profile: High</i> <i>Risk of Principal Erosion: High risk</i>	UBL Stock Advantage Fund UBL Financial Sector Fund	Upto 2.5% Upto 3%
Shariah Complaint Equity <i>Risk Profile: High</i> <i>Risk of Principal Erosion: High Risk</i>	Al-Ameen Shariah Stock Fund Al-Ameen Islamic Energy Fund	Upto 2.5% Upto 3%

Undertaking

I/We, hereby give our consent to UBL Funds to share my/our information with any third party(ies) in order to perform KYC related verification including NADRA Verisys, IBAN, due diligence, Mobile CNIC pairing verification and for improvement in customer services. I/We hereby acknowledge having read and understood the Consolidated Offering Document(s) as amended from time to time, latest Fund Manager Report and/or Fact Sheet of the relevant CIS(s)/VPS(s)/Plan(s). I/We understand to access the Company website to keep myself updated before every operation of this account. I/We declare that I/We am/are the Ultimate Beneficial Owner of the amount invested and the funds are legitimate and not generated from Money Laundering Activities. I/We am/are fully informed and understand that investment in units of CIS(s)/VPS(s)/Plan(s) are not bank deposit, not guaranteed and not issued by any person. Shareholder of UBL Funds are not responsible for any loss to investor resulting from the operations of any CIS(s)/VPS(s)/Plan(s) launched by UBL Funds unless otherwise mentioned.

I/We hereby indemnify UBL Funds against any liability, loss or damages, compensation, legal proceedings arising as a result of the inaccurate and / or incomplete information by me and / or due to technical issue in the site / portal / service for the execution of online transaction (online, IBFT & RTGS). I further indemnify UBL Funds from any loss or liability occurring by blocking of accounts due to any administrative action including missing or outdated Source of Income and/or Know Your Customer related information. I/We hereby further confirmed and undertake that the provided account details are correct.

Disclaimer

I/We understand that investment in CIS(s)/Plan(s)/VPS(s) are subject to market risks and fund prices may go up or down based on market conditions. I/We understand that past performance is not necessarily an indicator of future results and there is no guaranteed return or capital. I/We hereby also acknowledge that I/We have reviewed and understood detail of Sales Load, the Total Expense Ratio, Back-end and Contingent Load percentages including taxes of the Scheme as disclosed at UBL Fund website. Under the Cooling-off Right Investor can claim, first time investment in a CIS(s)/Plan(s)/VPS(s), through a written request at the applicable NAV on the date of the application within three business days of the said investment.

Use of name and logo of UBL Bank / UBL Ameen as given above does not mean that they are responsible for the liabilities/obligations of UBL Fund Managers & AI-Ameen Funds or any investment scheme managed by them.

For UBL Fixed Return Plan _____ / AI Ameen Islamic Fixed Return Plan _____ only:

I/We confirm that I/We have understood the details mentioned below at the time of investments.

1. Due to uncontrollable factors/force majeure including but not limited to Pandemics, Debt Restructuring, Wars, Government economic restructuring measures etc. there may be situation where the underlying asset lose their value in any shape, form or manner thereby resulting in loss of principal to the investor;
2. In the event of premature redemption, the contingent load, if any, will apply which may result in not delivering the quoted return as well as potential loss in principal investment;
3. Contingent load referred to as exit charges (including taxes), against early redemption of units / withdrawal during subscription period or completion of plan (not applicable on cash dividends).
4. For information regarding investment policy of respective allocation plans, please refer to consolidated offering document specifically annexure B.
5. I/We hereby also agree to inform UBL Fund Managers in writing on specified form, in case of any change in the standing instruction regarding maturity.

Signature: دستخط _____

Principal Unit holder / Guardian (Incase of minor)
پرنسپل یونٹ ہولڈر / سرپرست (اگر درخواست دہندہ نابالغ ہو)

Joint Account Holder
جو ائنٹ اکاؤنٹ ہولڈر

Joint Account Holder
جو ائنٹ اکاؤنٹ ہولڈر

Joint Account Holder
جو ائنٹ اکاؤنٹ ہولڈر

For UBL Special Savings Plan _____ Only:

I/We confirm that I/We have understood the details mentioned below at the time of investments.

1. Due to uncontrollable factors/force majeure including but not limited to Pandemics, Debt Restructuring, Wars, Government economic restructuring measures etc. there may be situation where the underlying asset losses their value in any shape, form or manner thereby resulting in loss of principal to the investor;
2. In the event of premature redemption the contingent load, if any, will apply which may result in not delivering the quoted return as well as potential loss of principal investment;
3. Back End Load (Deferred Sales load) referred to as Exit Charges to be deducted including taxes upon redemption of units before completion of thirty six (36) months from commencement of Life of the Plan (excluding units redeemed during Subscription Period & Cash Dividends)
4. For information regarding the investment policy of the respective allocation plan, please refer to Annexure 'B' of the offering document & thereto supplemental of 'UBL Special Savings Fund/ UBL Special Savings Fund II'

Signature: دستخط _____

Principal Unit holder / Guardian (Incase of minor)
پرنسپل یونٹ ہولڈر / سرپرست (اگر درخواست دہندہ نابالغ ہو)

Joint Account Holder
جو ائنٹ اکاؤنٹ ہولڈر

Joint Account Holder
جو ائنٹ اکاؤنٹ ہولڈر

Joint Account Holder
جو ائنٹ اکاؤنٹ ہولڈر

Date

(DD - MM - YYYY)

1. _____ 2. _____ 3. _____

Signature: Principal Unit holder

Joint Unit Holder'(s) Signature(s)

I, _____, hereby confirm the following:

1. I have explained the risk of the Fund/Plan being subscribed by the investor
2. I have explained that the principal is at risk (in case of high risk funds) and the investor can lose money.
3. I have not made or implied any guarantee with respect to return/profit or the Principal investment amount.
4. I have not quoted any fixed return/profit percentage or amount to the investor except any Fixed Return or Guaranteed return product being offered.
5. I have explained the Risk Profiling Questionnaire to the Investor.
6. I have explained to the Investor about the Sales Load (if any) of the Scheme/Plan in which the investor is investing.

Signature

Name of Sales Agent: _____
(ICM qualified only)

Date: _____

Signature

Name of Supervisor: _____
(To sign only in case of non-ICM qualified Sales agent)

Date: _____

For Office Use Only

Distributor

Name of Agent _____

Sub-Agent _____

Reference/Agent No. _____

IC / Location _____

Remarks _____