



Transaction Form For Conversion of Units (Mutual Funds)

TS	2 # (for office use only)					
021 You	-111-825-262 in your smart-phone to avaican submit the form at your nearest UBL Fund N	r <mark>ail smart V</mark> Managers Inv	r Customer Care Executive at 0800-00026 Whatsapp self service. type HI and send. vestment Center, designated UBL Branches or aut // Building, Beaumont Road, Civil Lines, Karachi, P	Please fill this form with Blue pen. thorized distributor outlets. You can also courier	the	
			General Instructions & Guidelines			
alte	ration is made, a countersign is mandatory. 4) Application	ons incomplete	application processing. 2) Fill the form yourself or get it fille e in any respect and/or not accompanied by required doci d understand the guidelines,instructions provided in this for	uments are liable to be held or rejected until complete r	requirements	
1	Principal Unit Holder Information	(Mandato	ory)			
	Customer ID CNIC Number					
	Principal Unit Holder's Name (Mr/Ms/	Mrs/Mess	ers)			
2	Joint Unit Holder Information (If A	Applicable)			
1	. Name		2. Name			
3	Transcation Type - Conversion be	etween so	hemes			
		From		То		
	Name of Scheme (s) Short Name of Fund/Plan (s)	Type of Units	Perform on (tick one) Amount No.of Units %	Name of Scheme (s) Short Name of Fund/Plan (s)	Type of Units	
1						
				Front End Load (One time cost*)		
	1. Conversion amount (Rs. / Units) in	ı words				
-		d Return P	lans, Maturity instructions on next page a	re mandatory		
		From		То		
	Name of Scheme (s) Short Name of Fund/Plan (s)	Type of Units	Perform on (tick one) Amount No.of Units %	Name of Scheme (s) Short Name of Fund/Plan (s)	Type of Units	
2						
				Front End Load (One time cost*)		
	2. Conversion amount (Rs. / Units) in	ı words ຼ				

Guidelines & Instructure 1) Conversion can only be made from one fund to another fund and from one plan to another plan. Conversion from a fund to plan (and vice versa) is not allowed.

2) Front-end/Back-end load (charges) will be applicable as per constitutive documents of the Fund(s)/Investment Plan(s). 3) Conversion charges may be applied as per the Constitutive Documents of the Fund(s)/Investment Plan(s). 4) incase the amount available in a fund/plan is less than the requested amount, the entire amount will be converted. 5) Incase of conversion between conventional and islamic investment plans, Insurance/Takeful Coverage (if offered) will be altered accordingly. 6) Incase of conversion from an investment plan, Insurance/Takeful Coverage (if offered) may be withdrawn if minimum amount required for coverage in that plan is not met. 7) if physical certificates are held, conversion will only be allowed if original (physical) certificates are surrendered/cancelled.

Note: In case of Conversion To UBL Fixed Return Plans, AL-Ameen Islamic Fixed Return Plan Maturity instructions on next page are mandatory

Scheme Category	Fund Name / CIS	Maximum Front End Load (One time cost)****
Money Market	UBL Money Market Fund	Upto 1%
Risk Profile: Low	UBL Liquidity Plus Fund	Nil
Risk of Principal Erosion:Low risk	UBL Cash Fund*	Nil
Shariah Compliant Money Market	Al-Ameen Islamic Cash Fund	Nil
Risk Profile: Low	Al-Ameen Islamic Cash Plan-I**	Nil
Risk of Principal Erosion: low risk		
Capital Protected (Non Equity) Risk Profile: Low	UBL Special Savings Fund Plan Name*	Upto 2% (May change in different plans)
Risk of Principal Erosion: Low risk	UBL Special Savings Fund - II	
	Plan Name*	Upto 1.5% (May change in different plans)
	UBL Fixed Return Fund* UBL Fixed Return Plan	
Fixed Return Scheme	Roll-over Disclosure (mandatory):	
Risk Profile: Low	If No: Convert to UMMF Transfer to Bank A/C	Nil
Risk of Principal Erosion: Low risk	Note: Roll-over will be made in Plan of same duration. The terms & conditions including fixed rate of return may vary for rolled over plans depending upon market conditions on the date of roll-over and will be published on website. In case of no instruction or no same duration plan being offered at maturity, the maturity proceeds (net-off tax) will be transferred to your registered Bank Account.	
	Al-Ameen islamic Fixed Return Fund*	
Sharish Committeet Fixed Between Schame	Al-Ameen Islamic Fixed Return Plan	
Shariah Compliant Fixed Return Scheme	Roll-over Disclosure (mandatory):	
Risk Profile: Low	If No: Convert to AICF Transfer to Bank A/C	Nil
Risk of Principal Erosion: Low risk	Note: Roll-over will be made in Plan of same duration. The terms & conditions including fixed rate of return may vary for rolled over plans depending upon market conditions on the date of roll-over and will be published on website. In case of no instruction or no same duration plan being offered at maturity, the maturity proceeds (net-off tax) will be transferred to your registered Bank Account.	
Income Risk Profile: Medium	UBL Income Opportunity Fund	Upto 1.5%
Risk of Principal Erosion: Medium risk	UBL Government Securities Fund	Upto 1%
Aggressive Income		,
Risk Profile: Medium	UBL Growth and Income Fund	Upto 1.5%
Risk of Principal Erosion: Medium risk		
Asset Allocation Risk Profile: Medium Risk of Principal Erosion: Medium risk	UBL Asset Allocation Fund	Upto 3%
Shariah Compliant Income Risk Profile: Medium	Al-Ameen Islamic Sovereign Fund	Upto 1%
Risk of Principal Erosion: Medium risk	Al-Ameen Islamic Income Fund	Upto 1.5%
Shariah Compliant Aggressive Income Risk Profile: Medium	Al-Ameen Islamic Aggressive Income Fund	Upto 1%
Risk of Principal Erosion: Medium risk	Al-Ameen Islamic Aggressive Income Plan_	(May change in different plan)
Shariah Compliant Asset Allocation Risk Profile: Medium	Al-Ameen Islamic Asset Allocation Fund	Upto 3%
Risk of Principal Erosion: Medium risk		
Equity Risk Profile: High	UBL Stock Advantage Fund	Upto 2.5%
Risk of Principal Erosion: High risk	UBL Financial Sector Fund	Upto 3%
Shariah Complaint Equity Risk Profile: HIgh	Al-Ameen Shariah Stock Fund	Upto 2.5%
Risk Frollie, Fligh Risk of Principal Erosion: High Risk	Al-Ameen Islamic Energy Fund	Upto 3%

Undertaking

I/We, hereby give our consent to UBL Funds to share my/our information with any third party(ies) in order to perform KYC related verification including NADRA Verisys, IBAN, due diligence, Mobile CNIC pairing verification and for improvement in customer services. I/We hereby acknowledge having read and understood the Consolidated Offering Document(s) as amended from time to time, latest Fund Manager Report and/or Fact Sheet of the relevant CIS(s)/VPS(s)/Plan(s). I/We understand to access the Company website to keep myself updated before every operation of this account. I/We declare that I/We am/are the Ultimate Beneficial Owner of the amount invested and the funds are legitimate and not generated from Money Laundering Activities. I/We am/are fully informed and understand that investment in units of CIS(s)/VPS(s)/Plan(s) are not bank deposit, not guaranteed and not issued by any person. Shareholder of UBL Funds are not responsible for any loss to investor resulting from the operations of any CIS(s)/VPS(s)/Plan(s) launched by UBL Funds unless otherwise mentioned.

I/We hereby indemnify UBL Funds against any liability, loss or damages, compensation, legal proceedings arising as a result of the inaccurate and / or incomplete information by me and / or due to technical issue in the site / portal / service for the execution of online transaction (online, IBFT & RTGS). I further indemnify UBL Funds from any loss or liability occurring by blocking of accounts due to any administrative action including missing or outdated Source of Income and/or Know Your Customer related information. I/We hereby further confirmed and undertake that the provided account details are correct.

Disclaimer

I/We understand that investment in CIS(s)/Plan(s)/VPS(s) are subject to market risks and fund prices may go up or down based on market conditions. I/We understand that past performance is not necessarily an indicator of future results and there is no quaranteed return or capital. I/We hereby also acknowledge that I/We have reviewed and understood detail of Sales Load, the Total Expense Ratio, Back-end and Contingent Load percentages including taxes of the Scheme as disclosed at UBL Fund website. Under the Cooling-off Right Investor can claim, first time investment in a CIS(s)/Plan(s)/VPS(s), through a written request at the applicable NAV on the date of the application within three business days of the said investment.

or UBL Fixed Return Plan / Al An	neen Islamic Fived Return	Plan only:	
We confirm that I/We have understood the deta Due to uncontrollable factors/force majeure is restructuring measures etc. there may be sitt resulting in loss of principal to the investor; In the event of premature redemption, the copotential loss in principal investment; Contingent load referred to as exit charges (is completion of plan (not applicable on cash diese for information regarding investment policy of I/We hereby also agree to inform UBL Fund I maturity.	ails mentioned below at the time nocluding but not limited to Pando uation where the underlying assortingent load, if any, will apply wincluding taxes), against early rejuidends).	of investments. emics, Debt Restructuring, Wars, Go et lose their value in any shape, forn which may result in not delivering the edemption of units / withdrawal durin	n or manner thereby quoted return as well as g subscription period or ocument specifically annexure E
Signature: وستخط			
Principal Unit holder / Guardian (Incase of minor)	Joint Account Holder	Joint Account Holder	Joint Account Holder
رپس یونٹ ہولڈر/سر پرست (اگر درخواست دہندہ نابالغ ہو)	جوائنث اكاؤنث ہولڈر	جوائنث اكاؤنث ہولڈر	جوائنٹ اکاؤنٹ ہولڈر
potential loss of principal investment; Back End Load (Deferred Sales load) referred thirty six (36) months from commencement of For information regarding the investment policy.	d to as Exit Charges to be deduct f Life of the Plan (excluding units cy of the respective allocation pl	cted including taxes upon redemptio s redeemed during Subscription Per	n of units before completion of iod & Cash Dividends)
 In the event of premature redemption the conpotential loss of principal investment; Back End Load (Deferred Sales load) referred thirty six (36) months from commencement of For information regarding the investment polisupplemental of 'UBL Special Savings Fund/ 	d to as Exit Charges to be deduction of the Plan (excluding units cy of the respective allocation plus Special Savings Fund II '	cted including taxes upon redemptio s redeemed during Subscription Per	n of units before completion of iod & Cash Dividends)
In the event of premature redemption the compotential loss of principal investment; Back End Load (Deferred Sales load) referred thirty six (36) months from commencement of For information regarding the investment polisupplemental of 'UBL Special Savings Fund/	d to as Exit Charges to be deduct f Life of the Plan (excluding units cy of the respective allocation plus Special Savings Fund II '	cted including taxes upon redemptions redeemed during Subscription Perlan, please refer to Annexure 'B' of t	on of units before completion of iod & Cash Dividends) he offering document & thereto
In the event of premature redemption the conpotential loss of principal investment; Back End Load (Deferred Sales load) referrer thirty six (36) months from commencement of For information regarding the investment polisupplemental of 'UBL Special Savings Fund/	d to as Exit Charges to be deduct f Life of the Plan (excluding units cy of the respective allocation plus UBL Special Savings Fund II'	cted including taxes upon redemptions redeemed during Subscription Perlan, please refer to Annexure 'B' of t	on of units before completion of iod & Cash Dividends) he offering document & thereto
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Undertaking (by the Sales agent/ Supervisor) _, hereby confirm the following: 1. I have explained the risk of the Fund/Plan being subscribed by the investor 2. I have explained that the principal is at risk (in case of high risk funds) and the investor can lose money. 3. I have not made or implied any guarantee with respect to return/profit or the Principal investment amount. 4. I have not quoted any fixed return/profit percentage or amount to the investor except any Fixed Return or Guaranteed return product being offered. 5. I have explained the Risk Profiling Questionnaire to the Investor. 6. I have explained to the Investor about the Sales Load (if any) of the Scheme/Plan in which the investor is investing. Signature Signature Name of Supervisor: Name of Sales Agent: _ (To sign only in case of non-ICM qualified Sales agent) (ICM qualified only) Date: _ For Office Use Only

Sub-Agent __

IC / Location —

Distributor

Remarks

Name of Agent ___

Refrence/Agent No.