

# KYC and FATCA Compliance for Joint Holder / Guardian / Child



## KnowYour Customer (KYC) for Joint Holder (Mandatory)

Please provide the following details pursuant to the Anti-Money Laundering regulations issued by the Securities and Exchange Commission of Pakistan (SECP).

Education  Under-graduate  Graduate  Post-graduate  Professional  Other \_\_\_\_\_  
(Please Specify)

Marital Status  Single  Married  Other No. of Dependents \_\_\_\_\_

Approximate Annual Income from \_\_\_\_\_ Profession(Rs.) Other Income(Rs) \_\_\_\_\_

Public Figure / Politically Exposed Person  Yes  No  Local  Foreign  
(Includes heads of state or of government, senior politicians, senior government / judicial / military officials of grade 21 or above, senior executive of state owned cooperation, important political party official, senior management/member of board of an international organisation)

Are you/you'er a family members or close associate of any public figure / politically exposed person  Yes  No

Source of Funds (multiple options may be selected)  Salary  Business Income (please specify) \_\_\_\_\_

Home Remittance  Inheritance  Stocks/Investments \_\_\_\_\_  
(please specify actual source of savings with documentary evidence)

Housewives (Please mark and specify source of income accordingly) 1  Inheritance 2  Gift 3  Depend on Husband/Son/Brother income 4  Remittances

Gift (Please provide notarized copy of gift deed)  Sales of Property / Assets (Please provide notarized copy of Sales Agreement/title)

Has any financial institution refused to open your account?  Yes  No

Do you deal in high value item such as Silver, Gold?  Yes  No

Do you have any links to offshore tax haven countries?  Yes  No

## Foreign Account Tax Compliance Act (FATCA)

**Principal Unit Holder Name** (  Mr  Ms  Mrs )

\_\_\_\_\_

**CNIC Number/passport Number** \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

**Customer ID** \_\_\_\_\_ - \_\_\_\_\_

Additional Information for following categories. Please tick (  ) appropriate box.

Joint Holder (please use separate sheet for all Joint Holders)

For child (Incase of investment in Children Savings Plan)  Guardian (incase of Minor Account)

Incase of child's age is less than 18, guardian will provide the information and sign the declaration on behalf of the minor.

**Name** \_\_\_\_\_

**Nationality** \_\_\_\_\_

Do you have other Nationalities? (If Yes Please disclose all Nationalities )

**Nationality 1** \_\_\_\_\_

**Nationality 2** \_\_\_\_\_

**Country of residence** \_\_\_\_\_

Do you hold U.S. Permanent Resident Card (Green Card)?  Yes  No

**Country of Birth** \_\_\_\_\_

Standing instructions to transfer funds to an account maintained in USA.  Yes  No

Are you registered in the US as a tax payer?  Yes  No Are you a U.S. Resident  Yes  No

If you have stayed in U.S. for more than 183 days in a U.S. tax year, please submit W9 Form in original

